

## Math 221B, Wed Feb 03

- ▶ Use a laptop or desktop with a large screen so you can read these words clearly.
- ▶ In general, you may turn off your camera and mute yourself.
- ▶ Exception: When we do groupwork, please turn both your camera and mic on. (Groupwork will not be recorded.)
- ▶ Please always have the chat window open to ask questions.
- ▶ Reading for today: 11.4–11.5.
- ▶ Reading for ~~Wed Feb 02~~: 11.6–11.8 (through Prop. 11.8.4(a)).
- ▶ PS01 due Mon Feb 07. ← Mon Feb 07
- ▶ First problem session Fri Feb 04, 10:00–noon on Zoom.

# The story (of any kind of abstract algebra) so far

To study the abstract algebra object called a FOO:

- ▶ Give axiomatic definition of FOOs
- ▶ Look at natural examples of FOOs
- (▶ Examine subFOOs (subFOO = subset of a FOO that is itself a FOO using the operations from the larger FOO)
- ▶ Look at FOO homomorphisms: maps between FOOs that preserve the structure of a FOO
- ▶ (In any kind of additive or multiplicative FOO) Look at the kernel of a FOO homomorphism (everything sent to identity)
  - ▶ Define quotient FOOs
  - ▶ (In additive/multiplicative situation) Understand homomorphisms in terms of kernels

Today:  $\mathbb{R}[\alpha]$

## More about $F[x]$

From last time:

**Thm:** Let  $F$  be a field, and suppose  $I$  is an ideal of  $F[x]$ . Then  $I = (f)$  for some  $f \in F[x]$ . Every ideal of  $F[x]$  is principal  
 $F[x]$  is a Principal Ideal Domain (PID)

**Cor:** Let  $F$  be a field and  $f, g \in F[x]$ . Suppose the ideal  $(f, g)$  is equal to  $(d)$  for some  $d \in F[x]$ . Then:

- ▶  $d$  divides  $f$  and  $g$ .  $g \subset d$
- ▶ If  $e$  divides  $f$  and  $g$ , then  $e$  divides  $d$ .
- ▶ There exist  $p, q \in F[x]$  such that  $d = pf + qg$ . Bezout identity

all  $F[x]$ -linear  
combs of  $f$  and  $g$

$(f, g)$  = ideal generated by  $f$  and  $g$

=  $\{ p(x)f(x) + q(x)g(x) \mid p(x), q(x) \in F[x] \}$  = all  $F[x]$ -linear combs of  $f, g$

$(d)$  = principal ideal gen by  $d = \{ p(x)d(x) \mid p(x) \in F[x] \}$

1.  $f$  is in  $(d)$ , so  $f$  is a multiple of  $d$ ; same for  $g$ .
2.  $d$  is in  $(f, g)$ , so by defn of  $(f, g)$ ,  $d = pf + qg$  for some  $p, q$  in  $F[x]$ .
3. If  $e$  divides both  $f$  and  $g$ , then  $e$  divides  $pf + qg$ , since  $f, g$  are in the ideal  $(d)$ , and therefore, so is  $pf + qg$ .



## Characteristic of a ring $R$

$$\sum_{i=1}^n \mathbb{1}_R = [n] : 3(x^3 + 2x + 2) \quad \text{char } 3$$
$$\boxed{3=0} = 3x^3 + 6x + 6 = 0$$

Since  $1 \in R$ , there exists a unique homomorphism  $\varphi : \mathbf{Z} \rightarrow R$  such that  $\varphi(1) = 1$ . (So in  $R$ , we can talk about  $2 = 1 + 1$ ,  $3 = 1 + 1 + 1$ , and so on.)

**Defn:** Since  $\ker \varphi$  is an ideal of  $\mathbf{Z}$ ,  $\ker \varphi = (n)$  for some  $n \in \mathbf{Z}$ ,  $n \geq 0$ . We then say that the **characteristic** of  $R$  is  $n$ . In particular, if  $\ker \varphi = \{0\}$ , then  $\ker \varphi = (0)$ , and so  $R$  has **characteristic 0**.

Examples:

$\mathbf{Z}_n$  has characteristic  $n$  because you add 1 to itself  $n$  times to get 0.

Notation: If  $p$  is prime, instead of  $\mathbf{Z}_p$ , we often write  $\mathbf{F}_p$  because integers mod  $p$  form a field.

Example:  $\mathbf{F}_p[x]$  is a ring with infinitely many elements, and  $\mathbf{F}_p[x]$  has characteristic  $p$ . (So for any  $f(x)$  in  $\mathbf{F}_p[x]$ ,  $f(x) + f(x) + \dots$  ( $p$  times)  $= 0$ .)

# Quotient rings

Given an ideal  $I$  of a ring  $R$ , we can define the quotient ring  $R/I$  as follows.

- ▶ **Set:** We define  $R/I$  to be the set of (additive) cosets of  $I$  in  $R$ , i.e.,

$$R/I = \{r + I \mid r \in R\}.$$

- ▶ **Operations:** For  $r, s \in R$ , we define

$$(r + I) + (s + I) = (r + s) + I$$

$$(r + I)(s + I) = (rs) + I.$$

Abelian  
quot gr  
 $R/I$

Quot  
ring

We might worry that these operations are not well-defined, but:

## Theorem

*The above is well-defined, and  $R/I$  is a ring.*

Note that the additive and multiplicative identities of  $R/I$  are  $0 + I$  and  $1 + I$ , respectively.

## Proof that quotient rings are well-defined

As with groups, the hard part is to prove that the operations are well-defined. (product doesn't depend on choice of reps  $r, s$ )

$$(r + I) + (s + I) = (r + s) + I$$

$$(r + I)(s + I) = (rs) + I$$

Suppose  $r+I = r'+I, s+I = s'+I$ .

Then by a first course in algebra, we have that  $r' = r+a, s' = s+b$  for some  $a, b$  in the ideal  $I$ .

So:

$$(r'+I)(s'+I) = r's' + I = (r+a)(s+b) + I$$

$$= rs + \overbrace{as + rb + ab} + I$$

$$= rs + I$$

$$a \in I, s \in R \\ \Rightarrow as \in I$$

$$a, b \in I \Rightarrow ab \in I$$

$$r \in R, b \in I \\ \Rightarrow rb \in I$$



An example that turns out to be familiar

$\mathbb{C}$  Set  
 $x^2 + 1 = 0$

**Example:**  $R = \mathbf{R}[x]$ ,  $I = (x^2 + 1)$ .  $R/I = \mathbf{R}[x]/(x^2 + 1)$  has:

► **Elements:**

NB Cosets  $f(x) + I$ ,  $f(x) \in \mathbf{R}[x]$   
 $i = x + I$     $i^2 = x^2 + I$    But  $-x^2 - 1 \in I$

So  $i^2 = x^2 + I = x^2 - x^2 - 1 + I = -1 + I$

► **Addition:**

$$(a+bi) + (c+di) = (a+c) + (b+d)i$$

Any

$$f(x) + I = a + bi \quad a, b \in \mathbf{R}$$

$$i^2 = -1$$

► **Multiplication:**

$$(a+bi)(c+di) = \text{poly mult, } i^2 = -1$$

Complex numbers are polynomials in  $i$ , except that  $i^2 = -1$

In general: For  $a \in R$ ,  $R/(a)$  is “ $R$  after setting  $a = 0$ ”.

## 11.4 and 11.5: The big picture

Our main technique for constructing new rings is to start with a known ring  $R$  and then **adjoin** an element  $\alpha$  with certain desired properties (basically, satisfying a given polynomial equation). The result is a ring  ~~$[a]$~~ .  $R[\alpha]$ .

But there are possible catches to this idea:

- ▶ How do we know there is such a thing as  $R[\alpha]$  at all?
- ▶ How do we know that  $R[\alpha]$  doesn't have unintended properties (i.e., unexpected identities satisfied by  $\alpha$ )? For example, is it possible that  $R$  is not a subring of  $R[\alpha]$ ? Maybe adjoining  $\alpha$  makes  $R$  collapse to 0?
- ▶ What is the structure of ideals in  $R[\alpha]$ ?

$\uparrow$



## Tools: The Correspondence Theorem and a commuting diagram

- **The Correspondence Thm:** Suppose  $\varphi : R \rightarrow \mathcal{R}$  is **surjective** and  $K = \ker \varphi$ . Then we have a bijection:

$$\{\text{ideals of } R \text{ containing } K\} \leftrightarrow \{\text{ideals of } \mathcal{R}\}$$

$$\mathcal{R} = R/A$$

↑

(Similar ideas give the First Isomorphism Theorem for Rings.)

- For  $a, b \in R$ , consider the quotient ring  $R/(a, b)$ . We have the following **commuting diagram**:

$$\begin{array}{ccc} R & \xrightarrow{\text{kill } a} & R/(a) \\ \text{kill } b \downarrow & & \downarrow \text{kill } b \\ R/(b) & \xrightarrow{\text{kill } a} & R/(a, b) \end{array}$$

"this diagram commutes"  
= result of composition  
one way around is the  
same as result of the  
composition the other way  
around.

Example: What is  $\mathbb{Z}[i]/(3+2i) \cong \mathbb{R}$   $i = x + (x^2 + 1)$

$$\text{IIT: } \mathbb{Z}[i] \cong \mathbb{Z}[x]/(x^2+1)$$

$$\text{So } \mathbb{R} = \mathbb{Z}[x]/\underbrace{(x^2+1, 3+2x)}_I$$

What is in  $I$ ?

→ all  $\mathbb{Z}[x]$ -lin comb. of  $x^2+1, 2x+3$

$$\begin{aligned} \rightarrow 2(x^2+1) - x(2x+3) &= 2x^2+2 - 2x^2 - 3x \\ &= 2-3x \in I \end{aligned}$$

$$\rightarrow \left. \begin{array}{l} 3(2x+3) \\ + 2(-3x+2) \end{array} \right\} \in I$$

$$6x+9-6x+4=13 \in I$$

$$\text{So } R = \mathbb{Z}[x] / (x^2+1, 3+2x, 13)$$

$$= \mathbb{F}_{13}[x] / (x^2+1, 2x+3) \quad \text{kill first}$$

$$7(2x+3) = x+8. \text{ So } x = -8 \text{ in } R$$

$$= \mathbb{F}_{13} / ((-8)^2+1) = \mathbb{F}_{13} / 65 = \mathbb{F}_{13}$$

## A construction of $R[\alpha]$ that works

$R$  a ring,  $a_i \in R$ . Suppose we want to adjoin  $\alpha$  to  $R$  such that for

$$f(x) = x^n + a_{n+1}x^{n-1} + \cdots + a_1x + a_0,$$

we have  $f(\alpha) = 0$  (i.e., we have that  $\alpha$  satisfies the above **polynomial identity**). The operations in  $R[\alpha] = R[x]/(f(x))$  can be described as follows.

- ▶ **Set:** Elements of  $R[\alpha]$  are polynomials in  $\alpha$  of degree up to  $n - 1$ .
- ▶ **Basis:** The set  $\{1, \alpha, \dots, \alpha^{n-1}\}$  is a basis for  $R[\alpha]$ , i.e., every element of  $R[\alpha]$  is a linear combination of  $\{1, \alpha, \dots, \alpha^{n-1}\}$  in exactly one way.
- ▶ **Addition:** Ordinary addition of polynomials (i.e., coordinatewise)
- ▶ **Multiplication:** Multiplication of polynomials mod  $f(\alpha)$ .

**Proof:** Let  $\alpha = x + (f(x))$  in  $R[x]/(f(x))$ .

Example:  $\overline{R} = \mathbf{F}_2[x]/(x^2 + x + 1)$

Think:  $\mathbf{F}_2 = \{0, 1\}$ ,  $\overline{R} = \mathbf{F}_2[\alpha]$ ,  $\alpha^2 + \alpha + 1 = 0$ .

1. How many elements are there in  $\overline{R}$ ?
2. What does the multiplication table of  $\overline{R}$  look like?