


Welcome to Math 221B

- ▶ Use a laptop or desktop with a large screen so you can read these words clearly.
- ▶ In general, you may turn off your camera and mute yourself.
- ▶ Exception: When we do groupwork, please turn both your camera and mic on. (Groupwork will not be recorded.)
- ▶ Please always have the chat window open to ask questions.
- ▶ Reading for today: 11.3.
- ▶ Reading for Wed Feb 02: 11.4.
- ▶ COVID Safety HW and ~~PS00~~ due today.
- ▶ PS01 due Mon Feb 07.
- ▶ First problem session Fri Feb 04, 10:00–noon on Zoom.

Last time

- ▶ Definition of ring (remember that in this course, all rings are commutative with unity 1)
- ▶ Examples of rings
- ▶ $R[x]$


$$0a + 0a = (0+0)a$$
$$0a + \cancel{0a} = \cancel{0a}$$
$$0a = 0$$

How abstract algebra always works:

To study the abstract algebra object called a FOO:

- * Give axiomatic definition of FOOs
- * Look at natural examples of FOOs
- * Examine subFOOs (subFOO = subset of a FOO that is itself a FOO using the operations from the larger FOO)
- * Look at FOO homomorphisms: maps between FOOs that preserve the structure of a FOO
- * (In any kind of additive or multiplicative FOO) Look at the kernel of a FOO homomorphism (everything sent to identity)
- * Define quotient FOOs
- * (In additive/multiplicative situation) First homomorphism theorem

today

Wed

Remainder and factor theorems

R a ring.

Remainder theorem: Let $f(x) \in R[x]$ and $\alpha \in R$. The remainder upon dividing $f(x)$ by $(x - \alpha)$ is $f(\alpha)$.

Proof: $f(x) = q(x)(x - \alpha) + r(x)$, where $\deg r(x) < 1$, so $r(x)$ is constant:

$$f(x) = q(x)(x - \alpha) + r$$


(We can plug alpha into diff parts of RHS b/c substitution is a homomorphism!)

Div alg
 $r \in R$

plug
 $x = \alpha$

$$f(\alpha) = q(\alpha)(\alpha - \alpha) + r$$

$r = f(\alpha)$



Factor theorem: Let $f(x) \in R[x]$ and $\alpha \in R$. Then $(x - \alpha)$ divides $f(x)$ if and only if $f(\alpha) = 0$.

Proof: Take the case $r = 0$ above.

Ring homomorphisms

Definition: R, R' rings. A ring **homomorphism** from R to R' is a map $\varphi : R \rightarrow R'$ such that for all $a, b \in R$:

- ▶ $\varphi(a + b) = \varphi(a) + \varphi(b)$; **phi preserves addition**
- ▶ $\varphi(ab) = \varphi(a)\varphi(b)$; and **phi preserves multiplication**
- ▶ $\varphi(1) = 1$. **phi preserves multiplicative identity**

The most important example: Plugging in on both sides for proof of remainder theorem, i.e., substitution.

More precisely, this generalizes to a sort of substitution-reduction homomorphism.

The Substitution Principle

expand/reduce coefficients



Thm. Let $\varphi : R \rightarrow R'$ be a ring homomorphism and fix $a \in R'$. There exists a unique homomorphism $\Phi : R[x] \rightarrow R'$ such that

- ▶ For any constant polynomial $c \in R \subseteq R[x]$, $\Phi(c) = \varphi(c)$; and
- ▶ $\Phi(x) = a$. (plug in a for x)

Why: Because the operations in $R[x]$ are defined using rules that have to apply in any ring.

Special cases: * Substituting an element a of R in for x (see proof of Remainder Thm)

- ▶ For $a \in \mathbf{Z}_n$, $\varphi : \mathbf{Z} \rightarrow \mathbf{Z}_n$ induces $\Phi : \mathbf{Z}[x] \rightarrow \mathbf{Z}_n$, sending $f(x)$ to $\bar{f}(a)$. So for $f(x) \in \mathbf{Z}[x]$, we **reduce** coefficients mod n , giving $\bar{f}(x) \in \mathbf{Z}_n[x]$, and then plug in a for x .
- ▶ For $a \in \mathbf{C}$, the **inclusion** map $\varphi : \mathbf{R} \rightarrow \mathbf{C}$ induces $\Phi : \mathbf{R}[x] \rightarrow \mathbf{C}$ (treat real coefficients as complex numbers and plug in a for x).

Ideals

Normal subgroups: Groups as Ideals: Rings
Ideals are way more important in ring theory than normal subgroups are to group theory.

Defn: Let R be a ring. An **ideal** of R is a nonempty subset I of R such that:

- ▶ I is closed under $+$, and
- ▶ If $a \in I$ and $r \in R$, then $ra \in I$. So: I closed under (external) R -mult

So to prove $I \subseteq R$ is an ideal of R :

0. Prove $I \neq \emptyset$.

1. $\textcircled{A} a, b \in I$

\vdots

$\textcircled{C} a + b \in I$

2. $\textcircled{A} a \in I$

\vdots

$\textcircled{C} ra \in I$

$r \in R$

I absorbs
outside stuff
under mult

Kernels are ideals

Let $\varphi : R \rightarrow R'$ be a ring homomorphism. We define

$$\ker \varphi = \{r \in R \mid \varphi(r) = 0\}.$$

Thm: $\ker \varphi$ is an ideal of R .

Proof:

$0 \cdot \varphi(0) = 0$, so $0 \in \ker \varphi$. \ker

1. $(A) a, b \in \ker \varphi$ $\varphi(a) = 0, \varphi(b) = 0$ $\varphi(a) + \varphi(b) = \varphi(a+b)$ $\varphi(a+b) = 0 + 0 = 0$ $(C) a+b \in \ker \varphi$	2. $(A) a \in \ker \varphi, r \in R$ So $\varphi(a) = 0$ So $\varphi(ra) = \varphi(r)\varphi(a)$ $= \varphi(r) \cdot 0 = 0$ So $\varphi(ra) = 0$ $(C) ra \in \ker \varphi$
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General constructions and examples

R a ring, $a, b, \dots \in R$.

$\{0\} = \text{zero ideal}$

- ▶ $(a) = \{ra \in R \mid r \in R\}$ is the **principal ideal generated by a** . I.e., the set of all R -multiples of a fixed element $a \in R$ is an ideal of R .
- ▶ $(a, b) = \{ra + sb \in R \mid r, s \in R\}$ is the **ideal generated by a and b** . I.e., the set of all R -linear combinations of the fixed elements $a, b \in R$ is an ideal of R .
- ▶ We'll see momentarily that the ideals of \mathbf{Z} each have the form $(n) = n\mathbf{Z}$ for some $n \in \mathbf{Z}$. $2\mathbf{Z}, 3\mathbf{Z}, 0\mathbf{Z} = \{0\}$
- ▶ Similarly, for a field F , every ideal of $F[x]$ has the form (f) for some $f \in F[x]$. **Later: $\mathbf{Z}, F[x]$ are principal ideal domains (PIDs).**
- ▶ However, in $\mathbf{Z}[x]$, the ideal $(2, x)$ is not principal (as we'll see later).
 $(2, x) = (\text{All polynomial mults of } 2) + (\text{All poly mults of } x)$
 $= (\text{Polys w/even coefficients}) + (\text{Polys with } 0 \text{ constant term})$
 $= \text{Polys w/even constant term}$

Fields and ideals

Recall that a **field** is a ring in which every nonzero element is a unit.

$\mathbb{R}, \mathbb{Q}, \mathbb{C}$

Thm: Let R be a ring in which $0 \neq 1$. Then TFAE:

1. R is a field.
2. R has exactly two ideals, $\{0\}$ and R itself.

Sketch (1) \Rightarrow (2) non-0

Prove: I ideal of $R \Rightarrow 1 \in I$
 $\Rightarrow I = R$

(2) \Rightarrow (1) For $a \neq 0$, show $\langle a \rangle$ contains 1.

Every ideal in $F[x]$ is principal

Let F be a field, and suppose I is an ideal of $F[x]$. Then $I = (f)$ for some $f \in F[x]$.

Proof: If I is nonzero, let ~~$f(x)$~~ be a nonzero element of I of smallest possible degree.

$\leftarrow d(x)$

For any $f(x)$ in I , we have (Div Alg):

$$f(x) = q(x)d(x) + r(x), \quad \text{where } \deg r < \deg d.$$

But $r(x) = f(x) - q(x)d(x)$, and f and d are in I , so r is in I .

} defn
ideal

However, d has smallest possible degree of any

NONZERO element of I , so r must be 0, i.e., f is a multiple of d .

So $I = (d)$.



Bezout's identity in $F[x]$

Cor: Let F be a field and $f, g \in F[x]$. Suppose the ideal (f, g) is equal to (d) for some $d \in F[x]$. Then:

- ▶ d divides f and g .
- ▶ If e divides f and g , then e divides d .
- ▶ There exist $p, q \in F[x]$ such that $d = pf + qg$.

Characteristic of a ring R

Since $1 \in R$, there exists a unique homomorphism $\varphi : \mathbf{Z} \rightarrow R$ such that $\varphi(1) = 1$. (So in R , we can talk about $2 = 1 + 1$, $3 = 1 + 1 + 1$, and so on.)

Defn: Since $\ker \varphi$ is an ideal of \mathbf{Z} , $\ker \varphi = (n)$ for some $n \in \mathbf{Z}$, $n \geq 0$. We then say that the **characteristic** of R is n . In particular, if $\ker \varphi = \{0\}$, then $\ker \varphi = (0)$, and so R has **characteristic 0**.