

## Math 128A, Mon Nov 30

- ▶ Use a laptop or desktop with a large screen so you can read these words clearly.
- ▶ In general, please turn off your camera and mute yourself.
- ▶ Exception: When we do groupwork, please turn both your camera and mic on. (Groupwork will not be recorded.)
- ▶ Please always have the chat window open to ask questions.
- ▶ Last reading in the course: Ch. 14.
- ▶ PS10 due tonight; outline for PS11 due Wed Dec 02.
- ▶ Problem session, Fri Dec 04, 10:00am–noon on Zoom.
- ▶ ~~EXAM 3~~, **TUE DEC 15. 7:15-9:30 AM!!!!**  
FINAL EXAM

# Rings

A **ring** is a set  $R$  with binary operations  $+$  and  $\cdot$  (multiplication) such that:

(Abelian group, 4 axioms) The operation  $+$  gives  $R$  the structure of an abelian group, with (additive) identity  $0$  and the inverse of  $a$  written  $-a$ .

(Associativity of multiplication) For all  $a, b, c \in R$ ,  $(ab)c = a(bc)$ .

(Distributive) For all  $a, b, c \in R$ ,  $a(b + c) = ab + ac$  and  $(a + b)c = ac + bc$ .

(Rings with unity) If there exists  $1 \in R$  such that  $1a = a1 = a$  for all  $a \in R$  and  $1 \neq 0$ , we say that  $1$  is a **unity** (or **multiplicative identity**) in  $R$ .

(Commutative rings) If  $ab = ba$  for all  $a, b \in R$ , we say that  $R$  is **commutative**.

Think: Rings axiomatize the properties of a number system.

Question: What is the difference between the ring of polynomials with coefficients in  $\mathbb{R}$  and the ring of real-valued functions on  $\mathbb{R}$ ?

Surface answer: Every real polynomial defines a function on  $\mathbb{R}$ , but not every function on  $\mathbb{R}$  comes from a polynomial (e.g., exponential function).

Deeper answer: In 128B, we look at polynomials not just as functions, but also (and more importantly) as abstract algebraic expressions in their own right. It turns out to be important to think of  $p(x) = x^2 + 4x + 5$  as an algebraic expression independently of plugging something into it.

# Review: What are the fundamental problems of group theory?

From 30,000 ft.

- ▶ **Structure:** Understand subgroups and cosets.
- ▶ **Homomorphisms and factor groups:** Understand homomorphisms, factor groups (i.e., normal subgroups), and relationship between them (11T).
- ▶ **Classification:** Find a list of all possible groups of a given order (or: all abelian groups of a given order).

Classifications that we've done (or at least understood):

- \* All finite abelian groups
- \* Groups of order  $p$ , order  $2p$  (not necessarily abelian), order  $p^2$
- \* Orders 1, 2, 3, 4, 5, 6, 7, (not 8), 9, 10, 11. 8 has additional complications, and 12 has new types of groups.

# What are the fundamental problems of ring theory?

$\text{Gps}$  |  $\text{Rings}$   
 $\text{NAG}$  |  $\text{A ideal of } R$

- ▶ **Structure:** Understand subrings.
- ▶ **Homomorphisms and factor groups:** Understand homomorphisms, factor rings (which are defined by **ideals**, as we'll see), and relationship between them (1T).
- ▶ **Number theory:** Motivated by number theory:
  - ▶ **Factorization:** When do elements of a ring factor uniquely into "primes"?
  - ▶ **Field extensions:** If we start with (say)  $\mathbf{Q}$  and add in some **algebraic numbers** (e.g.,  $\sqrt{2}$ ,  $\sqrt[3]{-5}$ ), what is the structure of the resulting ring?

Background motivation: Solving equations!!

In  $\mathbb{Z}[\sqrt{-5}]$ :  $6 = 2 \cdot 3 = (1 + \sqrt{-5})(1 - \sqrt{-5})$

# Ideals

## Subring Test:

- \*  $A$  nonempty
- \*  $A$  closed under subtraction
- \*  $A$  closed under multiplication

## Definition

Let  $A$  be a subring of a ring  $R$ . To say that  $A$  is an **ideal** of  $R$  means that:

for every  $r \in R$ , **and not just every**  $r \in A$

and every  $a \in A$ , both  $ra$  and  $ar$  are in  $A$ .

That is,  $A$  is closed not just under multiplication by elements of  $A$  (as is any subring),  $A$  is closed under multiplication by elements of the bigger ring  $R$ . (So when we talk about ideals, we have to be clear what the bigger ring  $R$  is.)

**Note:** Ideals are very different from subgroups in several ways.

E.g., every subgroup of a group  $G$  contains the identity  $e$ .

But even though every subring contains  $0$ , and therefore every ideal contains  $0$ , if an ideal  $A$  of  $R$  contains  $1$ , then  $A$  must contain **\*all\*** of  $R$ .

## Ideal test

Recall that a nonempty  $A \subseteq R$  is a subring of  $R$  if and only if  $A$  is closed under subtraction and multiplication. Combining this with the definition of ideal:

### Theorem

Let  $A \neq \emptyset$  be a subset of a ring  $R$ . Then  $A$  is an **ideal** of  $R$  if and only if the following conditions all hold:

- ▶ (Closed under subtraction) For all  $a, b \in A$ , we have  $a - b \in A$ .
- ▶ (Closed under  $R$ -multiplication) For all  $a \in A$  and  $r \in R$ , we have that  $ra \in A$  and  $ar \in A$ .

A/C:  $\textcircled{A} a, b \in A$

(A closed under subtraction)

$\textcircled{C} a - b \in A$

$\textcircled{A} a \in A, r \in R$

(A closed under R-mult)

$\textcircled{C} ra \in A, ar \in A$

## Examples

- ▶ For  $R = \mathbf{Z}$ , we have the ideal
- Even numbers are:  
\* closed under subtraction  
\* closed under mult by \*any\* integer, even or odd

$$A = 2\mathbf{Z} = \{2k \mid k \in \mathbf{Z}\} \text{ even \#s!}$$

of  $R = \mathbf{Z}$ .

- ▶ More generally, for any fixed  $n \in \mathbf{Z}$ , we have the ideal

$$n\mathbf{Z} = \{kn \mid k \in \mathbf{Z}\}$$

of  $R = \mathbf{Z}$ .

(all multiples of that fixed  $n$ )

- ▶ For  $R = \mathbf{R}[x]$ , the set

$$A = \{f(x) \mid f(0) = 0\}$$

(i.e., polynomials with constant term 0) is an ideal of  $\mathbf{R}[x]$ .



## Finitely generated ideals

Even more generally:

### Theorem

Let  $R$  be a commutative ring, and let  $a$  be a fixed element of  $R$ .

Then

$$\langle a \rangle = \{ra \mid r \in R\}$$

is an ideal of  $R$ , called the **principal ideal generated by  $a$** .

Even more generally,

all  $R$ -linear combinations of  $a_1, \dots, a_k$

$$\langle a_1, \dots, a_k \rangle = \{r_1 a_1 + \dots + r_k a_k \mid r_i \in R\}$$

is an ideal of  $R$ , called the **ideal generated by  $a_1, \dots, a_k$** .

**Proof that  $\langle a \rangle$  is an ideal:**

$$A = \langle a \rangle = \{ra \mid r \in R\}$$

$$\triangleleft x, y \in \langle a \rangle$$

→  $x=ra, y=sa$  for  $r,s \in R$ .

$$x-y=ra-sa=(r-s)a \quad (\text{DL})$$

Let  $t=r-s \in R$  b/c  $R$  ring

So  $x-y=ta$  for some  $t \in R$ .

Ⓒ  $x-y \in \langle a \rangle$



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Ⓐ

$x \in \langle a \rangle$

$r \in R$

So  $x=as$  for some  $s \in R$

So  $rx = ras = (rsa) (R \text{ comm})$

Let  $t = rs \in R$  b/c  $R$  ring

So  $rx = at$  for some  $t \in R$

①  $rx \in \langle a \rangle$



## Examples and non-examples

- ▶ Let  $R = \mathbf{R}$  and let  $A = \mathbf{Z}$ . Then  $A$  is a subring of  $R$ , but  $A$  is not an ideal of  $R$  because:

$$2 \in A, \pi \in R, \text{ but } 2\pi \notin A.$$

- ▶ Let  $R = \mathbf{R}[x]$  and

$$A = \{f(x) \mid f(0) = 0\}.$$

Then  $A = \langle x \rangle$ , which means that  $A$  is a principal ideal (i.e., generated by a single element). It is true but very much not obvious that **every** ideal of  $R = \mathbf{R}[x]$  is principal.

- ▶ Let  $R = \mathbf{R}[x, y]$  (real polynomials in two variables, and let

$$A = \{f(x, y) \mid f(0, 0) = 0\},$$

which is again the set of all (two-variable) polynomials with constant term 0. Then  $A = \langle x, y \rangle$ , but  $A$  is not principal (again, true but very much not obvious).

## Factor rings

Given an ideal  $A$  of a ring  $R$ , we can define the factor ring  $R/A$  as follows.

- ▶ **Set:** We define  $R/A$  to be the set of (additive) cosets of  $A$  in  $R$ , i.e.,

$$R/A = \{r + A \mid r \in R\}.$$

- ▶ **Operations:** For  $r, s \in R$ , we define

$$(r + A) + (s + A) = (r + s) + A$$

$$(r + A)(s + A) = (rs) + A.$$

As with groups, we might worry that these operations are not well-defined. However:

### Theorem

*The above operations are well-defined, and give  $R/A$  the structure of a ring.*

## Proof that factor rings are well-defined

As with groups, the hard part is to prove that the operations are well-defined.

$$(r + A) + (s + A) = (r + s) + A$$

$$(r + A)(s + A) = (rs) + A$$