

# We are all in this together

## And we will get through this together.

- ▶ Use a laptop or desktop with a large screen so you can read these words clearly.
- ▶ To conserve bandwidth, please turn off your camera.
- ▶ Please mute your microphone unless I call on you.
- ▶ Please have the chat window open to ask questions.
- ▶ Reading for today: 8.3–8.4; for Wed: 8.5.
- ▶ Exam 2 on **Mon Apr 27**. Review and sample emailed to you.
- ▶ Exam 2 (~~tentatively~~) on ~~Wed Apr 22~~ done on paper, submitted by Canvas, proctored by Zoom. (Exam submission rehearsal: Last 5 min of class today.)
- ▶ Today's DJ: Michael.

## Last: reading dimension from generator

Cyclic codes invariant under cyclic permutation of coordinates.

$$R = \mathbb{F}_2[x]/(x^n - 1) \quad q=2$$

Theorem

If  $\mathcal{C}$  is cyclic of length  $n$  over  $\mathbb{F}_q$ , then  $\mathcal{C}$  is an ideal of  $R = \mathbb{F}_q[x]/(x^n - 1)$ . In fact,  $\mathcal{C} = (g(x))$  (principal idea generated by  $g(x)$ ) for some  $g(x)$  dividing  $x^n - 1$ . (over  $\mathbb{F}_2$ )

So we study all cyclic codes of length  $n$  by factoring  $x^n - 1$ .

Theorem

Cyclic codes are objects found in nature.

$\mathcal{C}$  cyclic code of length  $n$  over  $\mathbb{F}_q$ ,  $\mathcal{C} = (g(x))$  for  $g(x) \in \mathbb{F}_q[x]$  dividing  $x^n - 1$ . If  $\deg g(x) = r$ , then

$$\mathcal{B} = \left\{ g(x), xg(x), \dots, x^{(n-1)-r}g(x) \right\}$$

$n = \#$  of bits sent  
 $k = \#$  of info bits

is a basis for  $\mathcal{C}$  and  $\dim \mathcal{C}$  is  $k = n - r$ .

bigger degree  
= smaller dim

So we prefer  $g$  w/smaller degree.

## Encoding and reading a cyclic code

*E cyclic len n  
g(x) gen, degr*

- ▶ To encode  $k$  data bits  $\mathbf{m} = \begin{bmatrix} m_0 \\ \vdots \\ m_{n-r-1} \end{bmatrix}$ , we write  $\mathbf{m}$  in

polynomial notation as

$$m(x) = m_0 + m_1x + \cdots + m_{n-r-1}x^{n-r-1}$$

and transmit the codeword

$$m(x)g(x) = m_0g(x) + m_1xg(x) + \cdots + m_{n-r-1}x^{n-r-1}g(x).$$

No information lost because  $\{g(x), xg(x), \dots, x^{n-r-1}g(x)\}$  lin ind.

- ▶ Conversely, to read a received codeword  $y(x)$  with no errors in transmission,

$$m(x) = y(x)/g(x). \text{ Hard part: Error correction, which we'll skip.}$$

Note that if  $g(x)$  does not divide  $y(x)$ , then  $y(x)$  is not an element of  $\mathcal{C} = (g(x))$ , and an error must have occurred in transmission.

# Examples

$$\deg g = 1$$

Over  $\mathbf{F}_2$ ,  $x^n - 1 = x^n + 1 = (1+x)(1+x+\dots+x^{n-2}+x^{n-1})$ .

**Cyclic code generated by  $(x+1)$ .**

$$\dim \mathcal{C} = n-1$$

What do the corresponding codewords look like?

These are all of the ( $\deg \leq n-1$ ) polynomial multiples of  $(x+1) = (x-1)$  which are all of the polynomials  $f(x)$  of  $\deg \leq n-1$  such that  $f(1)=0$  (Factor Thm).

But  $f(1) = \text{sum of coefficients of } f(x)$ . So the codewords of  $\mathcal{C}$  are exactly the codewords whose coefficients sum to 0 -- i.e.  $\mathcal{C}$  is the parity check code of length  $n$ .

p.c.  $\rightarrow$   
Parity check  
 $\mathcal{C} = \text{all vers w/}$   
 $c_0 + c_1 + \dots + c_{n-1} = 0$

$\left[ \begin{array}{c} c_0 \\ c_1 \\ \vdots \\ c_{n-1} \end{array} \right]$

$$\Leftrightarrow f(x) = c_0 + c_1 x^1 + \dots + c_{n-1} x^{n-1}$$

where  $i.e. \text{ mult}$   
 $f(1) = 0$ , of  $(x+1)$

## Examples

$$\deg g = n-1, \dim \mathcal{C} = 1$$

Over  $\mathbf{F}_2$ ,  $x^n - 1 = x^n + 1 = (1+x)(1+x+\dots+x^{n-2}+x^{n-1})$ .

**Cyclic code generated by**  $(x^{n-1} + x^{n-2} + \dots + x + 1)$ .

Because our generator  $g(x)$  has degree  $n-1$ , the only polynomial multiples of  $g(x)$  with degree  $\leq (n-1)$  are  $0 \cdot g(x)$  and  $1 \cdot g(x)$ . So the only codewords in corresponding cyclic code  $\mathcal{C}$  are  $\{0, g(x)\}$ .

$$0 = \begin{bmatrix} 0 \\ \vdots \\ 0 \end{bmatrix}$$

$$g(x) = \begin{bmatrix} 1 \\ \vdots \\ 1 \end{bmatrix} \leftarrow c_{n-1}$$

So

$$\mathcal{C} = \{00\dots 0, 11\dots 1\}$$

So  $\mathcal{C}$  is the repetition code of length  $n$ .

Correct:

$$\left\lfloor \frac{n-1}{2} \right\rfloor$$

errors

## Examples

Over  $\mathbf{F}_2$ ,  $x^7 - 1 = x^7 + 1 = (x + 1)(1 + x + x^3)(1 + x^2 + x^3)$ .

Cyclic code generated by  $(1 + x + x^3)$ .  $\dim \mathcal{C} = 7 - 3 = 4$

Basis for  $\mathcal{C}$  is

1	1	0	0	0
$x$	1	1	0	0
$x^2$	0	1	1	0
$x^3$	1	0	1	1
$x^4$	0	1	0	1
$x^5$	0	0	1	0
$x^6$	0	0	0	1

Turns out that, after a change of coordinates, this code is equivalent to the Hamming code of length 7.

So: All codes we've seen so far are cyclic.  
In general, better codes are often symmetric,  
and vice versa.

## New motivating problem

So we now have a way to discover new codes in nature.

**Motivating problem:** Given  $\mathbf{F}_q$ , how can we choose  $n \in \mathbf{N}$  and  $g(x)$  dividing  $x^n - 1$  so that the cyclic code  $\mathcal{C}$  generated by  $g(x)$  has both a (relatively) large dimension and a large minimum distance?

Large dim: We want  $g(x)$  to have small degree ( $k = n - r$ , where  $r = \deg g(x)$ ). Ex: Parity check,  $\deg g(x) = 1$ .

Large min distance: More difficult. Note that  $\min \text{dist} \leq r$ , so we definitely don't want  $r$  to be too small.

Ex: Repetition code,  $\min \text{dist } n$ .

# Interlude: Prepare for exam upload rehearsal

Please:

- ▶ Log into Canvas now.
- ▶ Connect to Zoom with something that has a working camera.
- ▶ Get two pieces of paper ready.



# Field extensions

## Definition

An **extension** of a field  $F$  is a field  $E$  that contains  $F$  as a subfield.

A **finite extension field** of  $F$  is an extension  $E$  of  $F$  that is itself finite.

$E =$  big field

**Example:**  $F_8, F_{16}$ , etc., are all extensions of  $F_2$ .

## Definition

$$\mathbb{F}_2[x]/(x^3+x+1)$$

big  $E$   $\mathbb{F}_8$   
base  $F$   $\mathbb{F}_2$

$E$  extension of  $F$ ,  $f(x) \in F[x]$ .

**Factoring over  $F$**  to mean  $f(x) = g(x)h(x)$  with  $g(x), h(x) \in F[x]$ , and **factoring over  $E$**  to mean  $f(x) = g(x)h(x)$  with  $g(x), h(x) \in E[x]$ .

**Example:**  $x^2 + 1$  is irreducible over  $\mathbf{R}$  but factors as  $(x + i)(x - i)$  over extension  $\mathbf{C}$ .

$= E$

When you make coefficient field bigger, can often factor polynomials further (smaller pieces).

# The BCH Theorem

delta is the apparent minimum distance of C

## Theorem

$C$  cyclic code of length  $n$  over  $\mathbf{F}_q$ ,  $C = (g(x))$  for  $g(x) \in \mathbf{F}_q[x]$  dividing  $x^n - 1$ . Suppose  $E$  is an extension  $\mathbf{F}_q$  s.t. for some  $\delta \in \mathbf{N}$  and some  $\alpha \in E$  with the order of  $\alpha$  exactly equal to  $n$ , we have that

$$0 = g(\alpha) = g(\alpha^2) = g(\alpha^3) = \dots = g(\alpha^{\delta-1}).$$

Then the minimum distance  $d$  of  $C$  is at least  $\delta$ , i.e.,  $d \geq \delta$ .

So we want to solve: (to find a good cyclic code)

**Problem:**  $E$  an extension of  $\mathbf{F}_2$ , and suppose  $\alpha \in E^\times$  has order  $n$ . Find  $g(x) \in \mathbf{F}_2[x]$  of smallest possible degree such that  $g(x)$  divides  $x^n - 1$  and

Factors of  $g(x)$ :

$$0 = g(\alpha) = g(\alpha^2) = \dots = g(\alpha^{\delta-1})$$

for as large a value of  $\delta$  as possible.

## More finite field facts

Characteristic 2, i.e., base field is  $\mathbf{F}_2$ .

Theorem

1. If  $E$  is a finite extension of  $\mathbf{F}_2$ , then  $\beta \in E$  is a root of  $x^2 - x$  if and only if  $\beta \in \mathbf{F}_2$ .

$$E = \mathbb{F}_q \quad q = 2^e$$
$$x^2 - x = x(x-1) \quad \beta = 0, 1$$

2. Suppose  $E$  is a finite extension of  $\mathbf{F}_2$ , and define a function  $\rho : E \rightarrow E$  by the formula

$$\rho(\beta) = \beta^2.$$

$\rho$  squaring


Then  $\rho$  is an automorphism of  $E$ . Furthermore,  $\rho$  fixes exactly the subfield  $\mathbf{F}_2$ ; in other words, for  $\beta \in E$ ,  $\rho(\beta) = \beta$  if and only if  $\beta \in \mathbf{F}_2$ .

$$0^2 = 0, 1^2 = 1$$

Functions of the form  $\rho(\beta) = \beta^2$  (assertion (2)) are called **Frobenius automorphisms**.

$$\text{(gen'l: } \rho(\beta) = \beta^q)$$

## Proof that Frobenius automorphisms are homomorphisms

$$\rho(xy) = (xy)^2 = (x^2)(y^2) = \rho(x)\rho(y)$$


$$\begin{aligned}\rho(x+y) &= (x+y)^2 \\ &= x^2 + 2xy + y^2 \\ &= x^2 + y^2 \\ &= \rho(x) + \rho(y)\end{aligned}$$

Char 2
2=0



# Defn of minimal polynomial of $\beta$

## Theorem

Let  $E$  be an extension of  $\mathbf{F}_2$ , fix some  $\beta \in E$ , and let

$$I = \{f(x) \in \mathbf{F}_2[x] \mid f(\beta) = 0\}.$$

Then  $I$  is an ideal of  $\mathbf{F}_2[x]$ , and consequently,  $I = (m(x))$  for some  $m(x) \in \mathbf{F}_2[x]$ .

We call  $m(x) \in \mathbf{F}_2[x]$  the **minimal polynomial of  $\beta$  over  $\mathbf{F}_2$** .

$m(x)$  is smallest degree polynomial that has beta as a root.

Next: We'll see how to compute  $m(x)$  using Frobenius automorphisms.

# Computing the minimal polynomial

$E$  an extension of  $\mathbf{F}_2$ ,  $\beta \in E^\times$ .

## Definition

Define

$$\beta_n = \rho^n(\beta).$$

I.e., let  $\beta_n =$  applying  $\rho$  to  $\beta$  (i.e., squaring  $\beta$ )  $n$  times.

The **Frobenius orbit** of  $\beta$  is  $\{\beta_0 = \beta, \beta_1, \beta_2, \dots\}$  (Orbit always finite.)

## Theorem (Orbit Theorem)

*Suppose the Frobenius orbit of  $\beta$  is  $\{\beta_0, \dots, \beta_{s-1}\}$ . Then min poly of  $\beta$  over  $\mathbf{F}_2$  is*

$$m(x) = (x - \beta_0)(x - \beta_1) \dots (x - \beta_{s-1}).$$

*Furthermore, if  $\beta$  has order  $n$ , then  $m(x)$  divides  $x^n - 1$ .*

# Why the Orbit Theorem works

## Theorem (Orbit Theorem)

*Suppose the Frobenius orbit of  $\beta$  is  $\{\beta_0, \dots, \beta_{s-1}\}$ . Then min poly of  $\beta$  over  $\mathbf{F}_2$  is*

$$m(x) = (x - \beta_0)(x - \beta_1) \dots (x - \beta_{s-1}).$$

*Furthermore, if  $\beta$  has order  $n$ , then  $m(x)$  divides  $x^n - 1$ .*

## Example (secretly the Hamming $\mathcal{H}_7$ code again)

Consider the extension  $E = \mathbf{F}_8$  of  $\mathbf{F}_2$ , and let  $\alpha$  be a primitive root of  $E$ , with  $\alpha^3 = \alpha + 1$ . To compute the Frobenius orbit of  $\alpha^i$ , we start with  $\alpha^i$  and square what we have until we get back to  $\alpha^i$ , keeping in mind that  $\alpha^7 = 1$  (Finite Field Facts).

Frobenius orbit of  $\alpha$ :

Minimal polynomial of  $\alpha$ :