

Welcome! Everything is fine.

Hi!

When you're connected by zoom:

- ▶ Use a laptop or desktop with a large screen so you can read these words clearly.
- ▶ To conserve bandwidth, please turn off your camera.
- ▶ Please mute your microphone unless I call on you.
- ▶ Please have the chat window open to ask questions.

$$H = \begin{pmatrix} 1 & 1 & 1 & 1 & 1 \\ x_0 & x_1 & x_2 & x_3 & x_4 \end{pmatrix} \pmod{2}$$
$$Hx = \underline{0} \quad \text{is} \quad x_0 + x_1 + \dots + x_4 = 0$$

## Last time

- ▶ Parity check code of length  $n + 1$  detects one error



- ▶ Repetition code of length 3 corrects one error

msg 0  $\rightarrow$  transmit 000  $\rightarrow$  receive 010  $\rightarrow$  receiver reasons that 1 error more likely than 2, so most likely intended transmission is 000, so decode msg as 0.

↑  
One error

- ▶ **Motivating problem:** Can we transmit bitstrings in blocks of some length  $n$ , with one error-correction per block, at a cost of less than 3 transmitted bits per 1 message bit?

## (Binary linear) codes

$$\{0, 1\} = \mathbb{F}_2$$

Recall: “bit” is element of  $\mathbb{F}_2$ , “bitstring of length  $n$ ” is element of  $\mathbb{F}_2^n$ .  
(written horizontally, e.g., 01110010 instead of an  $8 \times 1$  column vector)

**Definition** (a binary code of length  $n$ )

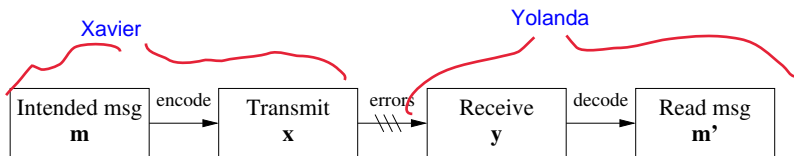
A **code** is a subset  $\mathcal{C}$  of  $\mathbb{F}_2^n$ . Elements (vectors) of a code are called **codewords**, and again, we think of codewords as the words that are possible correctly transmitted messages.

(Ex: repetition code length 3,  $\mathcal{C} = \{000, 111\}$ ,  $n=3$ )

**Definition**

A **binary linear code**  $\mathcal{C}$  of length  $n$  is a subspace  $\mathcal{C}$  of  $\mathbb{F}_2^n$ .

# Standard framework



Rep code:

Sender Xavier, receiver Yolanda.

1. Xavier wants to send msg  $\mathbf{m}$ . (a bitstring of some length  $t$ )
2. Xavier **encodes**  $\mathbf{m}$  to codeword  $\mathbf{x} \in \mathcal{C}$ . (a bitstring of length  $n$ )
3. Xavier transmits  $\mathbf{x}$ , Yolanda receives  $\mathbf{y}$ . No errors:  $\mathbf{y} = \mathbf{x}$ ;  
errors:  $\mathbf{y} \neq \mathbf{x}$ .
4. Yolanda **decodes**  $\mathbf{y}$  as  $\mathbf{m}'$ ; success means  $\mathbf{m}' = \mathbf{m}$ . Often:
  - 4.1 Yolanda **corrects**  $\mathbf{y}$  to  $\mathbf{y}' \in \mathcal{C}$ .
  - 4.2 Yolanda **reads**  $\mathbf{y}'$  as a message  $\mathbf{m}'$ .

# Linear algebra of errors

v

$$\begin{bmatrix} 0 \\ \vdots \\ 0 \\ 1 \\ 0 \\ \vdots \\ 0 \end{bmatrix} \quad i$$

$e_i$  has  $i$ th coordinate 1 and other coordinates 0.  
One error flipping bit  $i$  modelled by:

rec  $y = x + e_i$  (1)

(Adding 1 changes 0 to 1 and 1 to 0.)

Two errors flipping bits  $i$  and  $j$ :

$$y = x + e_i + e_j \quad (2)$$

rep code length 3

$$\begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix} + \begin{bmatrix} e_3 \\ 0 \\ 0 \\ 1 \end{bmatrix} = \begin{bmatrix} 1 \\ 1 \\ 0 \end{bmatrix} y$$

(algebraic model of flipping bit 3)

# Generator matrices, parity check matrices, syndrome

$n$   $\left[ \begin{array}{c} G \\ K \end{array} \right]$  columns have height  $n$

## Definition

Let  $G$  be an  $n \times k$  matrix over  $\mathbf{F}_2$ . To say that  $G$  is the **generator matrix** of a binary linear code  $\mathcal{C}$  of length  $n$  means that  $\mathcal{C} = \text{Col}(G)$ , so codewords are lin combs of columns

$k$   $\left[ \begin{array}{c} H \\ n \end{array} \right]$

## Definition

Let  $H$  be a  $k \times n$  matrix over  $\mathbf{F}_2$ . To say  $H$  is the **parity check matrix** of a binary linear code  $\mathcal{C}$  of length  $n$  means that  $\mathcal{C} = \text{Null}(H)$ , so codewords are  $\mathbf{x}$  such that  $H\mathbf{x} = \mathbf{0}$ .

## Definition

maybe tells you  
what went wrong?

$\neq 0$   $H\mathbf{x}$  not 0 means error

Let  $H$  be a parity check matrix for a code  $\mathcal{C}$  of length  $n$ . For  $\mathbf{x} \in \mathbf{F}_2^n$ ,  $H\mathbf{x}$  is the **syndrome** of  $\mathbf{x}$ . Note codewords in  $\mathcal{C}$  are precisely the vectors  $\mathbf{x}$  with syndrome equal to  $\mathbf{0}$ .

## Exs: Parity check and repetition

- $n=4$   $H = [1 \ 1 \ 1 \ 1 \ 1]$
- ▶ **Parity check code of length  $n + 1$**  is nullspace  $\mathcal{C}$  of the  $1 \times (n + 1)$  matrix  $H = [1 \ \dots \ 1]$ . In other words,  $\mathbf{x} \in \mathbf{F}_2^{n+1}$  is in  $\mathcal{C}$  exactly when  $H\mathbf{x} = 0$ , or:
  - ▶ **Repetition code of length  $n$**  is span  $\mathcal{C}$  of the (single) column of the  $n \times 1$  generator matrix

$$G = \begin{bmatrix} 1 \\ \vdots \\ 1 \end{bmatrix}.$$

$$x_0 + x_1 + \dots + x_4 \pmod{2} = 0 \quad (3)$$

Since the only possible linear combinations of  $\{G\}$  are  $G$  itself and the zero vector,  $\mathcal{C} = \{\mathbf{0}, G\}$ .

$\mathbf{x}$  is a codeword of PC length 5 exactly when  $H\mathbf{x} = 0$ , or:

$$\mathbf{x} = \begin{pmatrix} x_0 \\ x_1 \\ \vdots \\ x_4 \end{pmatrix} \quad H\mathbf{x} = 0$$

# Hamming 7-code

col 3 upside down is 011,  
the binary digits of 3.



- ▶  $\mathcal{H}_7$  is the nullspace of the parity check matrix

Parity check matrix is in RREF  
so rank = 3, so nullity =  
width - rank = 7 - 3 = 4.

$$H_7 = \begin{bmatrix} 1 & 0 & 1 & 0 & 1 & 0 & 1 \\ 0 & 1 & 1 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 1 & 1 & 1 & 1 \end{bmatrix}.$$

$x$  is a codeword of  
Hamming 7 exactly  
when: (4)

$$H_7 x = 0$$

- ▶  $\mathcal{H}_7$  is the column space of the generator matrix

$$\dim(\mathcal{H}_7) = 4$$

$$G_7 = \begin{bmatrix} 1 & 1 & 0 & 1 \\ 1 & 0 & 1 & 1 \\ 1 & 0 & 0 & 0 \\ 0 & 1 & 1 & 1 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}.$$

4 vecs in a basis,  
so dim = 4

Key point:  $i$ th column of  $H_7$  is binary digits of  $i$  upside down.



# Encoding and decoding Hamming 7



free variables

1. Xavier copies four data bits of  $\mathbf{m}$  into  $x_3, x_5, x_6, x_7$ . Other bits  $x_1, x_2$ , and  $x_4$  satisfy

leading variables, determined by free variables

$$\begin{aligned}x_1 &= x_3 + x_5 + x_7 \\x_2 &= x_3 + x_6 + x_7 \\x_4 &= x_5 + x_6 + x_7.\end{aligned}$$

(6)

2. Xavier transmits  $\mathbf{x}$ , Yolanda receives  $\mathbf{y}$ .
3. Yolanda then decodes  $\mathbf{y}$ :
  - 3.1 First, Yolanda corrects  $\mathbf{y}$  to a codeword  $\mathbf{y}'$  as follows. Let  $\mathbf{s} = H_7\mathbf{y} \in \mathbf{F}_2^3$  be the syndrome of  $\mathbf{y}$ . If  $\mathbf{s} = \mathbf{0}$ , then  $\mathbf{y}$  is a codeword, so Yolanda chooses  $\mathbf{y}' = \mathbf{y}$ . Otherwise, Yolanda reads  $\mathbf{s}$  as the binary digits of a number  $i$  and chooses  $\mathbf{y}'$  to be  $\mathbf{y}$  with its  $i$ th bit flipped (i.e.,  $\mathbf{y}' = \mathbf{y} + \mathbf{e}_i$ ).
  - 3.2 Yolanda reads the message  $\mathbf{m}'$  off of bits 3, 5, 6, and 7 of  $\mathbf{y}'$ .

# Encoding and decoding Hamming 7: Example



$m = 1010$   
copy to bits 3, 5, 6, 7:

$$H_7 = \begin{bmatrix} 1 & 0 & 1 & 0 & 1 & 0 & 1 \\ 0 & 1 & 1 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 1 & 1 & 1 & 1 \end{bmatrix} \quad (7)$$

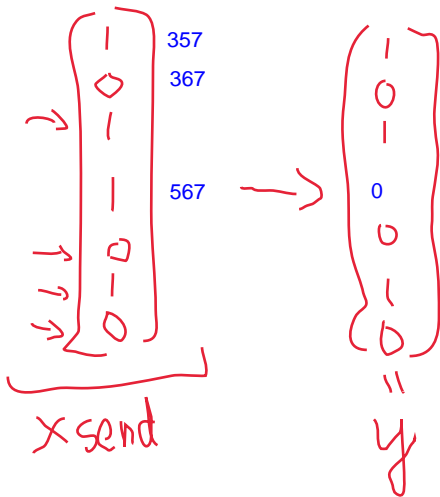
$s = H_7 y$   
remember: matrix \* vector  
is a l.c. of cols w/coeffs  
from vector

so  $s =$  sum of cols 1, 3, 6

$$= \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix}$$

read as 100, the binary digits of  
the number 4. So Yolanda  
knows that bit 4 got messed up  
!!!!

WHAAAAAAAAA



# Proof that decoding works

## Theorem

If  $\mathbf{y} = \mathbf{x} + \mathbf{e}_i$  (one error in bit  $i$ ), then syndrome  $\mathbf{s} = H_7\mathbf{y}$  is the binary digits of  $i$ .

## Proof.

Since  $\mathbf{x} \in \mathcal{H}_7 = \text{Null}(H_7)$ ,  $H_7\mathbf{x} = \mathbf{0}$ . Therefore,

$$\mathbf{s} = H_7\mathbf{y} = H_7(\mathbf{x} + \mathbf{e}_i) = H_7\mathbf{x} + H_7\mathbf{e}_i = \mathbf{0} + H_7\mathbf{e}_i = H_7\mathbf{e}_i, \quad (8)$$

the  $i$ th column of  $H_7$ . However,  $H_7$  is the matrix whose  $i$ th column is the binary digits of  $i$ . □

# Hamming 8-code

## Definition

**Hamming 8-code**  $\mathcal{H}_8$  is nullspace of

$$H_8 = \begin{bmatrix} 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 \\ 0 & 1 & 0 & 1 & 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 1 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 & 1 & 1 & 1 & 1 \end{bmatrix}. \quad (9)$$

Delete the first row and first column of  $H_8$ , get  $H_7$ .

So to be consistent with the Hamming 7-code, we write an

arbitrary element of  $\mathcal{H}_8$  as  $\begin{bmatrix} x_0 \\ x_1 \\ \vdots \\ x_7 \end{bmatrix} \in \mathcal{H}_8$ .

# Facts of Hamming 8

## Theorem

*The Hamming 8-code  $\mathcal{H}_8$  is the Hamming 7-code  $\mathcal{H}_7$ , extended by a parity check bit  $x_0$ ; and  $\mathcal{H}_8$  corrects 1 error and detects 2 errors.*

Proof is HW.