

## Math 127, Wed Apr 14

- ▶ Use a laptop or desktop with a large screen so you can read these words clearly.
- ▶ In general, please turn off your camera and mute yourself.
- ▶ Exception: When we do groupwork, please turn both your camera and mic on. (Groupwork will not be recorded.)
- ▶ Please always have the chat window open to ask questions.
- ▶ Reading for today: 8.1–8.2 (**reload book**). Reading for Mon: 8.3.
- ▶ PS08 outline due tonight, full version due Mon.
- ▶ Problem session Fri Apr 16, 10am–noon.

$$\mathbb{R}) (a, b) = \{ ra + sb \mid r, s \in \mathbb{R} \}$$

$a, b$  fixed in  $\mathbb{R}$

$$\mathbb{Z}) (4, 7) = \{ 7r + 4s \mid r, s \in \mathbb{Z} \}$$

Like: In  $F^n$ :

$$\text{span}\{\underline{v}, \underline{w}\} = \{ r\underline{v} + s\underline{w} \mid r, s \in F \}$$

These two constructions resemble each other because both  $\text{span}\{\underline{v}, \underline{w}\}$  and  $(a, b)$  (ideal generated by  $a$  and  $b$ ) are special cases of a more general concept.

## Symmetries of the roots of a polynomial, ver. 2

The point of studying automorphisms:

$\varphi$  \*fixes\* the  
coefficients of  $f(x)$




### Theorem

Let  $R$  be a ring, let  $\varphi : R \rightarrow R$  be an automorphism of  $R$ , and let

$$f(x) = a_n x^n + \cdots + a_1 x + a_0$$

be a polynomial with coefficients in  $R$  such that  $\varphi(a_i) = a_i$  for  $0 \leq i \leq n$ . For  $\alpha \in R$ , if  $f(\alpha) = 0$ , then  $f(\varphi(\alpha)) = 0$ .

**Special case/the point:** Let  $f(x) \in \mathbf{R}[x]$  be a polynomial with real coefficients. If  $a + bi$  is a complex root of  $f(x)$ , then because the automorphism of complex conjugation leaves  $f$  unchanged ("invariant"),  $a - bi$  is also a root of  $f(x)$ . (In other words, nonreal roots of real polynomials come in conjugate pairs.)



See: Algebra II, differential equations....

# Order and characteristic

1 added to itself  $n$  times, which you can think of as the integer  $n$  inside the ring  $R$

## Definition

The **order** of a field  $F$  is defined to be the number of elements in  $F$ ; i.e., **finite field** is a field of finite order.

## Definition

Let  $R$  be a ring. To say that  $R$  has characteristic  $n > 0$  means that  $n$  is the smallest positive integer such that  $n \cdot 1 = 0$ .

## Theorem

Let  $F$  be a finite field. Then  $\text{char}(F) = p$  for some prime  $p$ .

So every finite field contains a copy of  $F_p$  for some prime  $p$ .

If you study groups in abstract algebra (Math 128A), then  $\text{char}(R)$  is exactly the additive order of 1.

## More vocabulary

### Definition "F times"

Let  $F$  be a field. We use  $F^\times$  to denote the set of all nonzero elements of  $F$ , and call  $F^\times$  the **multiplicative group** of  $F$ .

### Definition Last time: $\langle a \rangle$ for $F_{17}$

Let  $F^\times$  be the multiplicative group of the field  $F$ , and suppose  $\alpha \in F^\times$ . We define the **cyclic subgroup generated by  $\alpha$**  to be  $\langle \alpha \rangle = \{\alpha^n \mid n \in \mathbf{Z}\}$ , i.e., the set of all powers of  $\alpha$ , positive, negative, or zero.

### Definition

To say that  $F^\times$  is **cyclic** means that there exists some  $\alpha \in F^\times$  such that  $F^\times = \langle \alpha \rangle$ , i.e., every element of  $F^\times$  is some power of  $\alpha$ . If  $F^\times = \langle \alpha \rangle$ , we say that  $\alpha$  is a **primitive** element of  $F$ .

### Theorem

*If  $F$  is a finite field, then its multiplicative group  $F^\times$  is cyclic. In other words, every finite field contains a primitive element.*

Q: Is there an easy way to find primitive elements in a finite field, or do we just have to guess a bunch?

A: No human being knows. (!!!!)

If you could find an answer to that, you would earn yourself a PhD, and depending on how good your answer is, you could become (math) famous.

Conjecture (50-60 years old): 2 is primitive in  $F_p$  "unless there's an obvious reason it isn't" (e.g., if  $p-1$  is a power of 2).

## Another definition of order

### Definition

Let  $F^\times$  be the multiplicative group of the field  $F$ , and suppose  $\alpha \in F^\times$ . If  $\alpha^n = 1$  for some positive integer  $n$ , we define the **order** of  $\alpha$  to be the *smallest* possible  $n$  such that  $\alpha^n = 1$ . ~~Otherwise, if  $\alpha^n \neq 1$  for all positive integers  $n$ , we say that  $\alpha$  has infinite order.~~

### Theorem

Let  $F$  be a field of order  $n$ , let  $F^\times$  be the multiplicative group of  $F$ , and suppose  $\alpha \in F^\times$ . Then:

1. The order of  $\alpha$  is equal to the order of (number of elements in)  $\langle \alpha \rangle$ . It follows that  $\alpha$  is primitive if and only if the order of  $\alpha$  is equal to  $n - 1$ , the order of  $F^\times$ .
2. If  $k$  is the order of  $\alpha$ , then the order of  $\alpha^m$  is  $\frac{k}{\gcd(k, m)}$ .
3. If  $k$  is the order of  $\alpha$ , then  $k$  divides  $n - 1$  (the order of  $F^\times$ ).

Ex.  $F = \mathbb{F}_{17}, |\mathbb{F}_{17}^*| = 16$

So the (multiplicative) order of any element is 1, 2, 4, 8, or 16.

$$3^1 = 3, 3^2 = 9, 3^4 = 13 = -4, 3^8 = 16 = -1$$

So the order of 3 is neither 1, 2, 4, or 8, so it must be 16, and 3 is primitive.

$h$	1	2	3	4	5	6	7	
$3^h$	3	9	10	-4 13	5	15 -2	6 11	...

By Thm part (1), we will eventually hit all nonzero elements of  $\mathbb{F}_{17}$  as powers of 3.



$$\text{order}(3) = 16$$

$$\text{order}(3^m) = \frac{16}{\text{gcd}(m, 16)}$$

$$m=2 \quad \text{order}(3^2) = \frac{16}{2} = 8 = \text{order}(9)$$

$$m=3 \quad \text{order}(3^3) = \frac{16}{1} = 16$$

So 10 also prim mod 17.

$$m=6 \quad \text{order}(3^6) = \frac{16}{\text{gcd}(6, 16)} = \frac{16}{2} = 8$$

So  $\text{order}(-2) = 8$ .

# The magic polynomial

## Corollary

Let  $F$  be a field of order  $q$ . Then every  $\alpha \in F$  is a root of the polynomial  $x^q - x \in F[x]$ , and consequently,

$$x^q - x = \prod_{\alpha \in F} (x - \alpha).$$

## Proof:

Because  $\text{order}(\alpha)$  divides  $q-1$ ,  $\alpha^{q-1} = 1$  for any nonzero  $\alpha$  in  $F$ .

So  $\alpha$  is a root of  $x^{q-1} - 1$ .

$x^q - x = x(x^{q-1} - 1)$  has those zeros and also 0.

We also know that  $a$  is a root of  $f(x)$  exactly when  $(x-a)$  divides  $f(x)$ .

So  $(x-a)$  div  $x^q - x$  for  $a \in F$

But  $F$  has  $q$  elts, and  $x^q - x$   
div by  $\prod_{\alpha \in F} (x - \alpha)$ , a poly deg  $q$ .

$$\text{So } x^q - x = \prod_{\alpha \in F} (x - \alpha)$$

Ex mod 17

mod 17

$$x^{17} - x = (x)(x-1)(x-2)\cdots(x-16)$$

## Deeper facts about finite fields

$$p=2$$

### Theorem

Let  $F$  be a finite field of characteristic  $p$ . Then  $F$  is isomorphic to  $\mathbf{F}_p[x]/(m(x))$  for some irreducible polynomial  $m(x) \in \mathbf{F}_p[x]$ .

So the order of a finite field must be  $p^e$  for some prime  $p$  and some positive integer  $e$ . More surprisingly:

### Theorem

$\hookrightarrow \deg m$

Let  $p$  be a prime, and let  $e$  be a positive integer.

1. There exists at least one field of order  $p^e$ .
2. If  $F$  and  $K$  are both finite fields of order  $p^e$ , then  $F$  and  $K$  are isomorphic.

I.e., for any prime  $p$  and some positive integer  $e$ , there is only one field of order  $q = p^e$ .

Ex Over  $\mathbb{F}_2$ ,  $x^3+x^2+1$  } both  
and  $x^3+x+1$  } irred

So both

$\mathbb{F}_2[x]/(x^3+x^2+1)$ ,  $\mathbb{F}_2[x]/(x^3+x+1)$

are both fields order 8

Thm  $\Rightarrow$  they are isom

## Five Facts for Finite Fields

1. **Prime power:** The characteristic of a finite field must be a prime  $p$ , and its order must be  $q = p^e$  for some  $e \geq 1$ .
2. **Orders of elements:** The multiplicative group of a finite field is cyclic; i.e., if  $F$  has  $q$  elements,  $F^\times$  must contain at least one element of order  $q - 1$ . Moreover, every element of  $F^\times$  must have order dividing  $q - 1$ .
3. **Magic polynomial:** If  $F$  is a field of order  $q$ , then every  $\alpha \in F$  is a root of  $x^q - x$ , or in other words,  $\alpha^q = \alpha$  for every  $\alpha \in F$ . Consequently,  $x^q - x$  factors as the product of all  $(x - \beta)$ , where  $\beta$  runs over all elements of  $F$ .
4. **Construction:** Every finite field of characteristic  $p$  is isomorphic to  $\mathbf{F}_p[x]/(m(x))$  for some irreducible polynomial  $m(x)$ .
5. **Classification:** For any prime  $p$  and  $q = p^e$  ( $e \geq 1$ ), there exists a field  $\mathbf{F}_q$  of order  $q$  that is unique up to isomorphism.

Example: One approach to the field of order 8

Construction, magic polynomial, orders of elements:

See 7.7.

(Worked exs.)

## Building better codes (review)

of Ch. 6

- ▶ An  $[n, k, d]$  code  $\mathcal{C}$  is a binary linear code of **length**  $n$ , **dimension**  $k$ , and **minimum distance**  $d$ . In other words,  $\mathcal{C}$  is a subspace of  $\mathbf{F}_2^n$ ,  $\dim \mathcal{C} = k$  as a subspace of  $\mathbf{F}_2^n$ , and the smallest number of 1s appearing in a nonzero codeword of  $\mathcal{C}$  is  $d$ .
- ▶ We would like  $k/n$  to be as large as possible, because  $k/n$  represents the portion of each transmitted message that contains useful data.
- ▶ Also, since the maximum number of errors that can be corrected in a single transmitted codeword is  $\left\lfloor \frac{d-1}{2} \right\rfloor$ , we would like  $d$  to be as large as possible.

It follows that to create a good code, we need to find  $[n, k, d]$  codes where both  $k$  and  $d$  are as large as possible, given  $n$ .



## Example: Longer Hamming codes

For an integer  $r \geq 2$ , let  $n = 2^r - 1$ , and let  $H_n$  be the  $k \times n$  matrix whose  $i$ th column ( $1 \leq i \leq n$ ) is the binary digits of the integer  $i$ , e.g., for  $r = 3$  and  $r = 4$ :

$$H_7 = \begin{bmatrix} 1 & 0 & 1 & 0 & 1 & 0 & 1 \\ 0 & 1 & 1 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 1 & 1 & 1 & 1 \end{bmatrix},$$

$$H_{15} = \begin{bmatrix} 1 & 0 & 1 & 0 & 1 & 0 & 1 & 0 & 1 & 0 & 1 & 0 & 1 & 0 & 1 \\ 0 & 1 & 1 & 0 & 0 & 1 & 1 & 0 & 0 & 1 & 1 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 1 & 1 & 1 & 1 & 0 & 0 & 0 & 0 & 1 & 1 & 1 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 \end{bmatrix}.$$

Q: Can we make longer codes like this that still transmit lots of data, but correct more errors?

The **Hamming  $n$ -code**  $\mathcal{H}_n$  has parity check matrix  $H_n$ .

**Theorem**

So code is nullspace of matrix  $H_n$ .

For an integer  $r \geq 2$  and  $n = 2^r - 1$ , the Hamming  $n$ -code  $\mathcal{H}_n$  is an  $[n, n - r, 3]$  code (so we can correct 1 error per transmission).

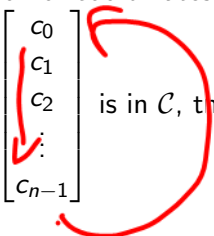
As  $r \rightarrow \infty$ , transmit almost 100% data, but can't correct much.

# Cyclic codes

## Definition

Let  $\mathcal{C}$  be a binary linear code of length  $n$ . To say that  $\mathcal{C}$  is **cyclic** means that it is closed under cyclic permutation of coordinates.

That is, to say that  $\mathcal{C}$  is cyclic means that if

$$\begin{bmatrix} c_0 \\ c_1 \\ c_2 \\ \vdots \\ c_{n-1} \end{bmatrix} \text{ is in } \mathcal{C}, \text{ then}$$


so are  $\begin{bmatrix} c_{n-1} \\ c_0 \\ c_1 \\ \vdots \\ c_{n-2} \end{bmatrix}$ ,  $\begin{bmatrix} c_{n-2} \\ c_{n-1} \\ c_0 \\ \vdots \\ c_{n-3} \end{bmatrix}$ , and so on.

## Polynomial notation: What is $xc(x)$ ?

The **polynomial notation** for vectors in  $\mathbf{F}_2^n$  represents

$$\begin{bmatrix} c_0 \\ \vdots \\ c_{n-1} \end{bmatrix} \text{ as}$$

$$c(x) = c_0 + c_1x + c_2x^2 + \cdots + c_{n-1}x^{n-1}$$

in the ring  $R = \mathbf{F}_2[x]/(x^n - 1)$  (i.e., setting  $x^n = 1$ ).

If  $c(x) = c_0 + c_1x + c_2x^2 + \cdots + c_{n-1}x^{n-1}$ , then in  $\mathbf{F}_2[x]/(x^n - 1)$ , we have:

$$xc(x) =$$

# Cyclic codes are ideals

## Theorem

*Let  $\mathcal{C}$  be a binary linear code of length  $n$ . In polynomial notation,  $\mathcal{C}$  is cyclic if and only if it is an ideal of the ring  $\mathbf{F}_2[x]/(x^n - 1)$ .*

**Proof:** PS09.

# The generator polynomial of a cyclic code

## Theorem

*Fix a positive integer  $n$ , and let  $\mathcal{C}$  be a nonzero cyclic code of length  $n$ , i.e., let  $\mathcal{C}$  be a nonzero ideal of  $\overline{R} = \mathbf{F}_2[x]/(x^n - 1)$ . Then  $\mathcal{C}$  is principal, or in other words,  $\mathcal{C} = (g(x))$  for some  $g(x) \in \mathbf{F}_2[x]$ . Moreover, we can choose  $g(x)$  so that  $g(x)$  divides  $x^n - 1$ .*

## Definition

Let  $\mathcal{C}$  be a cyclic code of length  $n$  over  $\mathbf{F}_q$ . We define the **generator polynomial** of  $\mathcal{C}$  to be the minimal polynomial  $g(x)$  of  $\mathcal{C}$ .

## Next time

### Theorem

*Let  $\mathcal{C}$  be a cyclic code of length  $n$  generated by the divisor  $g(x) \in \mathbf{F}_2[x]$  of  $x^n - 1$ . If  $\deg g(x) = r$ , then the set*

$$\mathcal{B} = \left\{ g(x), xg(x), \dots, x^{(n-1)-r}g(x) \right\}$$

*is a basis for  $\mathcal{C}$ . Consequently, the dimension of  $\mathcal{C}$  is  $k = n - r$ .*